EFS-Web Receipt date: 03/10/2006

PCT/PTO 19 MAR 2008

FORM PTO-1390 (REV 2-2005) OMB-0651-0021

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 12065-0038

| | | TRANSMITTAL LETTER TO THE UN | IITED STATES | DATE: March 10, 2006 |
|------|-------------|---|---|--|
| | | DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 3 | | U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) 10/560,899 |
| | | ATIONAL APPLICATION NO. P2005/013987 | INTERNATIONAL FILING DATE JULY 25, 2005 | PRIORITY DATE CLAIMED DECEMBER 24, 2004 |
| TITI | _E O | FINVENTION: PARTICULATE MATTER OXIDA | ATION CATALYST AND FILTER | |
| | | ANT(S) FOR DO/EO/US: Hisashi SUDA and Tak | | |
| App | lican | t herewith submits to the United States Designated/Ele | cted Office (DO/EO/US) the following it | tems and other information: |
| 1. | | This is a FIRST submission of items concerning a filin | g under 35 U.S.C. 371. (THE BASIC FI | ILING FEE IS ATTACHED) |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of it | tems concerning a filing under 35 U.S.C | C. 371. |
| 3. | | This express request to begin national examination prictive expiration of the applicable time limit set in 35 U.S. | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | |
| 5. | | A copy of the International Application as filed [35 U.S a. is transmitted herewith (required only if not trab. will be transmitted by the International Bureau is not required, as the application was filed in | ansmitted by the International Bureau). J. (APPLICATION NOT YET PUBLISHI | |
| 6. | | An English language translation of the International A a. is attached hereto. b. has been previously submitted under 35 U.S. | | |
| 7. | | Amendments to the claims of the International Applica a. are transmitted herewith (required only if not to b. have been transmitted by the International But c. have not been made; however, the time limit d. have not been made and will not be made. | transmitted by the International Bureau ureau. |). |
| 8. | | An English language translation of the amendments to | o the claims under PCT Article 19 [35 L | J.S.C. 371(c)(3)]. |
| 9. | | An oath or declaration of the inventor(s) [35 U.S.C. 37 | 71(c)(4)]. | |
| 10. | | An English language translation of the annexes of the [35 U.S.C. 371(c)(5)]. | International Preliminary Examination | Report under PCT Article 36 |
| Iten | ns 11 | - 20 below concern other document(s) or information i | included: | |
| 11. | \boxtimes | An Information Disclosure Statement under 37 C.F.R. | . 1.97 and 1.98. | |
| 12. | | An assignment document for recording. A separate co | over sheet in compliance with 37 C.F.R | . 3.28 and 3.31 is included. |
| 13. | | A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment | nt. | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | |
| 15. | | A substitute specification. | | |
| 16. | | A power of attorney and/or change of address letter. | | |
| 17. | | A computer-readable form of the sequence listing in a | accordance with PCT Rule 13ter.2 and | 35 U.S.C. 1.821 - 1.825. |
| 18. | | A second copy of the published international applicati | ion under 35 U.S.C. 154(d)(4). | |
| 19. | | A second copy of the English language translation of | the international application under 35 L | J.S.C. 154(d)(4). |
| 20. | | Other items or information: | | |

| U.S. APPLN. NO. | (IF KNOWN, | INTE | RNATIONAL APPLICA | ATION NO. | ATTORNEY DOCKET NO. 1 | 2065-0038 | |
|---|---|---------------|---|--------------------|-----------------------------------|----------------------|--|
| SEE 37 C.F.R. 1.5 NOT YET | 0) ASSIGNED | | PCT/JP2005/01 | 3987 | DATE: DECEMBER 16, 2005 | | |
| ☐ The follow | ing fees are su | | | | CALCULATIONS | PTO USE ONLY | |
| 21.) Basic | National Fee | | | | \$ | | |
| 22.) Exam | ination Fee - If | Internation | nal Preliminary Exa | mination | | | |
| | | | ms satisfy provision | | | | |
| | | | | | \$ | | |
| ☐ 23.) Searc | ch Fee - If Sear | ch Fee (37 | 7 CFR 1.445(a)(2) h | as been paid | | | |
| | | | SPTO as an Interna | | | | |
| | | | nd provided to the (| | | | |
| | • | • | provided to trie (| | \$ | | |
| All Other Situa | | | TOTAL OF 2 | 1, 22 and 23 = | \$ | | |
| Additional fee | e for specification | and drawir | ngs filed in paper over | | | | |
| (excluding seque | ence listing on co | mputer prog | gram listing filed in an | electronic | | | |
| medium). The feather | ee is \$250 for each | ch additiona | 150 sheets of paper of | or traction | \$ | | |
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| | | | und up to a whole numb | er) | \$ | | |
| -100 | 0/50= | | | × \$250 | \$ | | |
| Surcharge of \$130 the earliest claime | | | leclaration later than 30 2(h)] |) months from | \$ | | |
| Claims | Number F | | Number Extra | Rate | | | |
| Total Claims | - 20 = | | 0 | X \$50.00 | \$ | | |
| Independent Clain | ns - 3 = | | 0 | X \$200.00 | \$ | | |
| | | | | | | | |
| Multiple dependen | t claim(s) (if appli | | - 450VE 041 011 4T | + \$360.00 | \$ | | |
| | | | F ABOVE CALCULAT | | \$ | | |
| Applicant claims s | small entity status | s. See 37 CFF | R 1.27. Fees above are r | SUBTOTAL = | \$ | | |
| | | | | | Ψ | <u> </u> | |
| from the earliest c | | | lish translation later the 1.492(f)]. | e 30 months | \$ | | |
| | · | | | TIONAL FEE = | \$ | | |
| Fee for recording to | the enclosed assi | gnment [37 C | C.F.R. 1.21(h)]. The as (37 C.F.R. 3.28, 3.31). | signment must | • | | |
| property | y an appropriate | COVEL SHEEL | (U/ U.F.N. 3.20, 3.31). | | \$ | | |
| | | | TOTAL FEES | ENCLOSED = | \$ | | |
| | | | | | Amount to be refunded Charged | \$ \$ | |
| | | | ne above fees is enclos | | | | |
| b. Please o | harge Counsel's late copy of this sh | Deposit Acco | ount No. 50-1088 in the | amount of \$ | to cover the above fee. | | |
| c. 🛛 The Con | nmissioner is here | by authorize | ed to charge any addition | onal fees which ma | ay be required, or credit any ov | erpayment to | |
| | i's Deposit Accou to be charged to a | | | on this form mav b | ecome public. Credit card inform | nation should not be | |
| | | | d information and author | | | | |
| | | | 37 C.F.R. 1.494 or 1.49 ne application to pendin | | net, a petition to revive [37 C.F | .R. 1.137(a) or (b)] | |
| SEND ALL CORR | RESPONDENCE | то: | | <u>///</u> | 11/ | g J | |
| CLARK & BROD | | | | [[A] | start with he | all. | |
| 1090 Vermont Av Suite 250 | enue, N.W. | | | Christon | her W. Brody | - 25 | |
| Washington, D.C | . 20005 | | | Registrat | tion No. 33,613 | \mathcal{O} | |
| Telephone: 202-8 Fax: 202-835-175 | | | | Date: Ma | arch 10, 2006 | - | |
| Customer Number | | | | | | | |

EFS-Web Receipt date: 03/40/2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hisashi SUDA et al. Art Unit: not yet assigned

Application No.: 10/560,899 Examiner: not yet assigned

Filed: December 16, 2005 Attorney Dkt. No.: 12065-0038

For: PARTICULATE MATTER OXIDATION CATALYST AND FILTER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants respectfully submit that this disclosure is being filed in accordance with 37 C.F.R. 1.97(b), therefore, no fee is required.

Respectfully submitted,

Christopher W. Brody Registration No. 33,613

Customer No. 22902

1090 Vermont Avenue, N.W., Suite 250

Washington DC 20005 Telephone: 202-835-1111 Facsimile: 202-835-1755

Docket No.: 12065-0038 Date: March 10, 2006

Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE

ATTY. DOCKET NO. 12065-0038

SERIAL NO.

PATENT AND TRADEMARK OFFICE

10/560,899

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Hisashi SUDA et al.

FILING DATE

GROUP ART UNIT

12/16/2005

Not yet assigned

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|------------------|-----------------|------|------|-------|---------------|----------------|
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRA YES | ANSLA NO | <u>TION</u> PART. |
|----|----------------------|---|---|---|--|---|--|--|
| 1. | 9-267040 | 10/14/1997 | JP | | | | | x |
| 2. | 5-184929 | 07/27/1993 | JP | | | | | х |
| 3. | 1-307452 | 12/12/1989 | JP | | | | | х |
| 4. | 2-017911 | 01/22/1990 | JP | | • | | | х |
| 5. | 11-253757 | 09/21/1999 | JP | | | | | х |
| 6. | 2003-222014 | 08/08/2003 | JP | | | | | х |
| 7. | 6-029542 | 04/20/1994 | JP | | | | | х |
| | | | | | | | | |
| | 2. 3. 4. 5. | NO. 1. 9-267040 2. 5-184929 3. 1-307452 4. 2-017911 5. 11-253757 6. 2003-222014 | DOCUMENT NO. DATE 1. 9-267040 10/14/1997 2. 5-184929 07/27/1993 3. 1-307452 12/12/1989 4. 2-017911 01/22/1990 5. 11-253757 09/21/1999 6. 2003-222014 08/08/2003 | DOCUMENT NO. DATE COUNTRY 1. 9-267040 10/14/1997 JP 2. 5-184929 07/27/1993 JP 3. 1-307452 12/12/1989 JP 4. 2-017911 01/22/1990 JP 5. 11-253757 09/21/1999 JP 6. 2003-222014 08/08/2003 JP | NO. DATE COUNTRY CLASS 1. 9-267040 10/14/1997 JP 2. 5-184929 07/27/1993 JP 3. 1-307452 12/12/1989 JP 4. 2-017911 01/22/1990 JP 5. 11-253757 09/21/1999 JP 6. 2003-222014 08/08/2003 JP | DOCUMENT NO. DATE COUNTRY CLASS SUBCLASS 1. 9-267040 10/14/1997 JP 2. 5-184929 07/27/1993 JP 3. 1-307452 12/12/1989 JP 4. 2-017911 01/22/1990 JP 5. 11-253757 09/21/1999 JP 6. 2003-222014 08/08/2003 JP | DOCUMENT NO. DATE COUNTRY CLASS SUB-CLASS TRAYES 1. 9-267040 10/14/1997 JP | DOCUMENT NO. DATE COUNTRY CLASS SUB-CLASS TRANSLA YES NO 1. 9-267040 10/14/1997 JP |

OTHER REFERENCES (Including Author Title Date Pertinent Pages Etc.)

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| /J.C./ | 9. | T. IGARASHI et al., "The Current Situation and Cha DPF System", Proceedings of the Annual Congres of Japan, 2002, Volume 22, No. 2, pages 5-8 | |
| /J.C./ | 10. | Metal Magazine, 2004, Volume 74, No., 5, pages 44 | 9-453 |
| /J.C./ | 11. | K. SHIBATA et al., "Properties of DPF System Usin ZrO ₂ /SiC Fibers", Journal of the Ceramic Society of | |
| | <u> </u> | 852-856 | |
| | | 852-856 | |

*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.